

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
13783 (JP919990150US1)

In Re: Application Of: Hidefumi Yamashita, et al.

Serial No.  
09/636,783

Filing Date  
8/11/00

Examiner  
Unassigned

Group Art Unit  
Unassigned

Title: **LIQUID CRYSTAL DISPLAY DEVICE AND METHOD OF FABRICATING THE SAME**

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

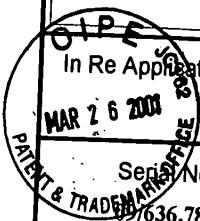
- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0510/IBM** as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☐ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. \_\_\_\_\_) on \_\_\_\_\_ (Date)

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\_\_\_\_\_  
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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on **3/21/01** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

\_\_\_\_\_  
Signature of Person Mailing Correspondence

**Mishelle Mustafa**  
Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

\_\_\_\_\_  
Signature

Dated: **March 21, 2001**

**Leopold Presser**  
Registration No. 19,827  
**SCULLY, SCOTT, MURPHY & PRESSER**  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

CC:



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PATENTS

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Hidefumi Yamashita, et al.

**Examiner:** Unassigned

**Serial No.:** 09/636,783

**Art Unit:**

**Filed:** August 11, 2000

**Docket:** 13783 (JP919990150US1)

**For:** LIQUID CRYSTAL DISPLAY DEVICE  
AND METHOD OF FABRICATING  
THE SAME

**Dated:** March 21, 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. 1.56**

Sir:

In compliance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98 requiring the applicants to apprise the U.S. Patent and Trademark Office of any art of which they are cognizant and which may have a bearing on the prosecution of the present application, at this time the applicants are not aware of any prior art which may be pertinent to the claims as pending herein.

Inasmuch as the claims as presently on file are deemed to patentably distinguish over the state-of-the art, the early and favorable examination of the application on the merits by the Examiner is earnestly solicited.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

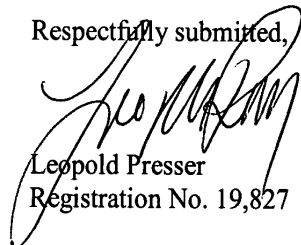
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on March 21, 2001.

Dated: March 21, 2001

Mishelle Mustafa

Finally, inasmuch as the present Information Disclosure Statement is being submitted prior to the issuance of a first Office Action on the merits, no petition, certification or payment of any fee is required to be submitted by the applicants.

Respectfully submitted,



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